

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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STEPHEN CARUSO, et al.,

Petitioners,

-v-

No. 14CV4017-LTS-GWG

DOROTHY WAXMAN, et al.,

Respondents.

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ORDER

The Petition, which is unopposed, and to which Petitioners represent that Respondents affirmatively consent (see docket entry no. 10) is granted. The March 18, 2014 Financial Industry Regulatory Authority ("FINRA") award in this case is confirmed. The Court directs FINRA to execute the FINRA Dispute Resolution panel's expungement directive removing all references to the arbitration proceeding from the Central Registration Depository records of Petitioners.

The Clerk of the Court is requested to enter judgment accordingly and close the case.

Dated: New York, New York
September 9, 2014



LAURA TAYLOR SWAIN
United States District Judge

